

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Clinical Information System (CIS) - .mil Enclave

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

03/21/23

Program Executive Office (PEO) Medical Systems (J-6)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

From members of the general public

From Federal employees

☒ from both members of the general public and Federal employees

Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

New DoD Information System

New Electronic Collection

☒ Existing DoD Information System

Existing Electronic Collection

Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Clinical Information System (CIS) - .mil enclave is an unclassified commercial off the shelf (COTS) system supporting the delivery of inpatient, emergency department, and selected outpatient care at DoD Military Treatment Facilities (MTFs). Defense Health Agency (DHA) has purchased licenses and is under contract to utilize this COTS product, owned by CliniComp International. DHA operates the system at all sites, with support from CliniComp International. CliniComp International maintains an enclave that connects to sites for support, upgrade and troubleshooting purposes, and serves/acts as a backup copy of Clinical Information System (CIS)/Essentris® Inpatient System data to support the continuity of operations in the event CIS Essentris® is rendered inoperable. Personally identifiable information (PII) may be collected, maintained, processed, or disseminated by systems and applications within the CIS - .mil enclave. PII may include numerous types of PII including employee and beneficiary contact information, military information, demographic information, Social Security Number (SSN), and protected health information (PHI).

PII processed on some system components, applications, and documents might utilize specific administrative, physical, and technical security controls to protect PII confidentiality and which may be addressed in separate PIAs. Such PIAs are available at: <https://dodcio.defense.gov/In-the-News/Privacy-Impact-Assessments/aspx/>.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The purpose and the intended use of the collected PII is specific to an information system and is addressed in the system's PIA.

e. Do individuals have the opportunity to object to the collection of their PII?

Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The opportunity for individuals to object to the collection of their PII is specific to the method used to collect PII in the respective information system and is addressed in the respective system's PIA.

f. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The opportunity for individuals to consent to the specific uses of their PII is specific to the method used by the respective information system and is addressed in the respective system's PIA.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement

Privacy Advisory

☒ Not Applicable

The Clinical Information System (CIS) - .mil enclave does not collect PII; however, systems, applications, and electronic collections within the enclave might. Refer to the respective system, application, or electronic collection PIA for additional information.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

- | | | |
|---|----------|--|
| <input checked="" type="checkbox"/> Within the DoD Component | Specify. | PII may be shared with personnel with authorized access to the enclave. |
| Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective system's PIA. |
| Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective system's PIA. |
| State and Local Agencies | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective system's PIA. |
| Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective system's PIA. |
| Other (e.g., commercial providers, colleges). | Specify. | Not applicable for the enclave. PII sharing is addressed in the respective system's PIA. |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|--------------------|
| Individuals | Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | Commercial Systems |
| Other Federal Information Systems | |

Existing DoD Information Systems: CIS Essentris®

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|--|---|
| E-mail | Official Form (Enter Form Number(s) in the box below) |
| In-Person Contact | Paper |
| Fax | Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | Website/E-Form |
| Other (If Other, enter the information in the box below) | |

Information Sharing - System to System: CIS Essentris®

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes ☒ No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

The Clinical Information System (CIS) - .mil enclave is not a system of records; however, systems, applications, and electronic collections within the enclave might require a SORN. Refer to the specific system, application, or electronic collection PIA for SORN information.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

- (1) NARA Job Number or General Records Schedule Authority. N1-330-01-002 item 4
- (2) If pending, provide the date the SF-115 was submitted to NARA.
- (3) Retention Instructions.

Disposition: Destroy 50 years after the end of the calendar year of the last treatment.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
- (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
- (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
- (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Authorities to collect information are specific to the information system and addressed in the respective information system's PIA.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes ☒ No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

An OMB Control Number is not required because the system collects all of its information from system-to-system information sharing, specifically from CIS Essentris®, which is exempted under the clinical exemption.